GLOBAL COMPLIANCE INSTITUTE

AMLS CANDIDATE HANDBOOK

THIS PROGRAM WILL HELP YOU DISCOVER THE INTERNATIONAL STANDARDS AND BEST PRACTICES IN FIGHTING FINANCIAL CRIME. UNDERSTAND AND ANALYSE THE EVOLUTION OF MONEY AND FINANCIAL TECHNOLOGIES (VIRTUAL CURRENCIES & VIRTUAL ASSETS). PREPARE AN INTELLIGENT DUE DILIGENCE AND INVESTIGATION PROCESS EMPLOYING THE MOST ADVANCED TECHNIQUES AND TECHNOLOGIES IN FINTECH (ROBOTIC PROCESS AUTOMATION & ARTIFICIAL INTELLIGENCE). LEARN HOW TO BUILD AND DEVELOP SYSTEMS AND PROCESSES FOR MONEY LAUNDERING RISK RATING, TRANSACTION MONITORING, INVESTIGATIONS AND CASE MANAGEMENT AND REPORTING.

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ABOUT GCI

GCI is an international Financial Crime Prevention and Compliance Training Institute dedicated to strengthening Financial Crime Prevention and Compliance capabilities across the global financial sector. We specialise in AML, CTF, and the full spectrum of financial-crime disciplines, including KYC, sanctions and embargoes, regulatory compliance management, FATCA, and CRS. Our programs are designed to equip professionals with practical, industry-aligned skills that meet today's evolving regulatory expectations.

CPD MEMBER
The CPD Certification Service

GCI is a recognised independent accreditation institute compatible with global CPD principles.

OUR MISSION

Delivering anti-financial crime training to compliance and AML professionals across the globe. Providing the latest expertise through our cutting-edge, hassle-free learning platform. Helping students boost their careers through study programs that fit around their schedule, giving them the confidence and practical know-how to apply their expertise in their workplace and protect their business.



LEARNING OBJECTIVES

Financial Crime Principles.

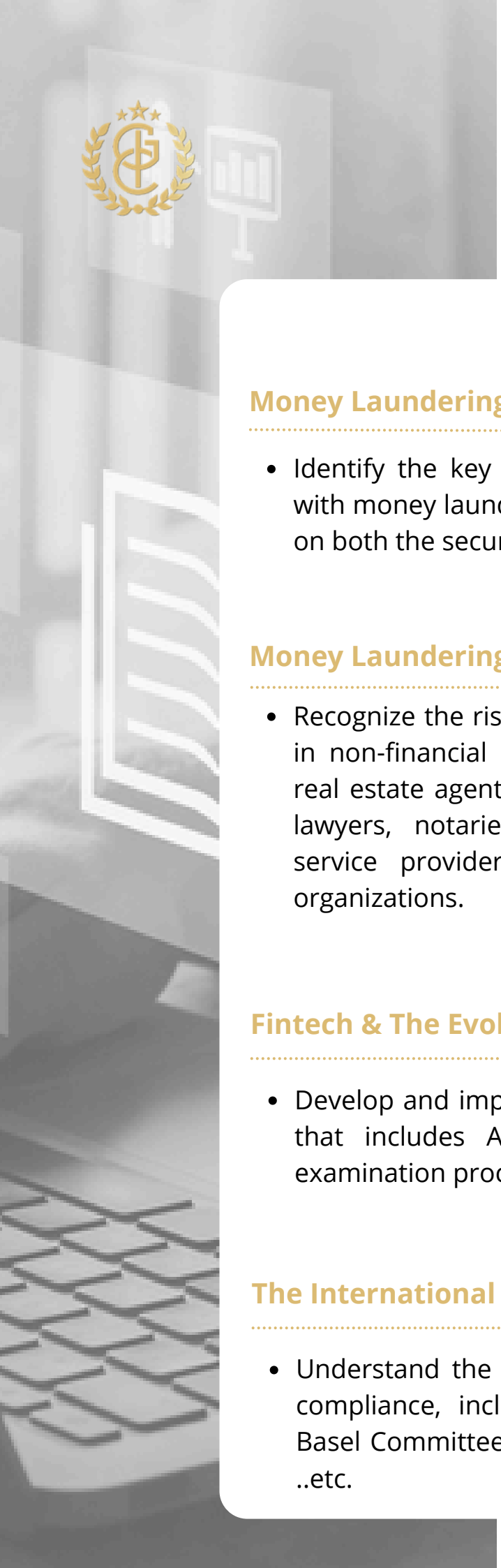
- Identify the key principles and components of financial crime, including money laundering, terrorist financing, and proliferation financing.
- Analyse the risks and impact of financial crime on individuals, organizations, and society as a whole.

Risk-Based Approach & Compliance Monitoring

- Explain the benefits and principles of a risk-based approach to AML compliance, and apply them to customer risk assessment, electronic AML risk rating, and the risk assessment of correspondent banks.
- Develop and implement effective compliance monitoring procedures that address the specific risks and challenges of their organization, and ensure ongoing training and communication to maintain an effective compliance culture.

Fintech & The Evolution of Money and Financial Crime

- Analyse the opportunities and challenges presented by fintech in the fight against financial crime, and recognize the risks associated with virtual currencies and virtual assets.



Money Laundering in The Financial Sector

- Identify the key risks and red flag indicators associated with money laundering in the financial sector, with a focus on both the securities sector and banking sector.

Money Laundering In Non-Financial Sectors

- Recognize the risks and typologies of money laundering in non-financial sectors, including the roles played by real estate agents, precious metals and stones dealers, lawyers, notaries, accountants, trust and company service providers, casinos, charities and non-profit organizations.

Fintech & The Evolution of Money and Financial Crime

- Develop and implement an effective compliance program that includes AML risk assessment, monitoring, and examination processes.

The International Framework of AML

- Understand the international framework of AML in AML compliance, including the Financial Action Task Force, Basel Committee on Banking Supervision, Egmont Group, ..etc.

SYLLABUS

CHAPTER ONE: *FINANCIAL CRIME PRINCIPLES.*

- What is Money laundering?
- Element of the ML offence
- Stages of Money Laundering
- Terrorist Financing
- Counter-Proliferation Finance

CHAPTER TWO: RISK-BASED APPROACH & COMPLIANCE MONITORING

- AML Risk-Based Approach
- Risk Rating Factors
- Factor One: Customer Type
- Factor two: Geographical location
- Factor three: Product and Services
- Customer overall risk assessment
- Electronic AML Risk Rating
- Risk-scoring model
- Risk Assessment of Correspondent Banks

CHAPTER THREE: FINTECH & THE EVOLUTION OF MONEY AND FINANCIAL CRIME

- Transaction Monitoring Systems (TMS)
- Fintech highlights
- Customer onboarding and Digital Identity
- Digital Identity Guidance
- Money As A Medium Of Exchange
- Virtual Currencies & Virtual Assets
- The beginning of Virtual Currencies - Bitcoin
- From Bitcoin to other virtual currencies
- Stablecoins



CHAPTER FOUR: MONEY LAUNDERING IN THE FINANCIAL SECTOR

- Securities Sector
- Banking Sector

CHAPTER FIVE: MONEY LAUNDERING IN NON-FINANCIAL SECTORS

- Real Estate Agents
- Precious Metals & Precious Stones Dealers
- Lawyers, notaries, other independent legal professionals and accountants
- Trust and Company Service Providers (TCSPs)
- Casinos
- Charities and Non-Profit Organisations (NPOs)

CHAPTER SIX: COMPLIANCE PROGRAM, MONITORING, & EXAMINATIONS

- COMPLIANCE & AML PROGRAM
- Compliance Monitoring and Examination Process
- First: Request for information (RFI)
- Second: Periodic Regulatory Reports
- Third: Regulatory Inventory Matrix (RIM)
- Fourth: Regulatory Control Matrix (RCM)
- Fifth: Compliance Examination
- Compliance Risk Assessment

CHAPTER SEVEN: THE INTERNATIONAL FRAMEWORK OF AML

- The Financial Action Task Force
- Basel Committee on Banking Supervision (BCBS)
- The Egmont Group
- Wolfsberg Group

TARGETED CANDIDATES

PROFESSIONAL IN THE SUPERVISORY AUTHORITIES:

- Employees of the Federal Reserve and Central Banks
- Financial Sector Regulatory Authorities
- Financial Intelligence Units FIUs
- Tax Authorities
- Related Ministries and government bodies

AML OFFICERS AND MANAGERS IN ADDITION TO FRONT LINERS AND BACK OFFICE STAFF PARTICIPATING IN THE PROCESS OF FINANCIAL TRANSACTIONS:

- Banking Industry
- Insurance and reinsurance Companies
- Brokerage Firms
- Leasing and Microfinance Companies
- Lending Firms
- Exchange Houses and Money Service Business

AML SOLUTIONS PROVIDER

DESIGNATED NON-FINANCIAL BUSINESSES AND PROFESSIONS

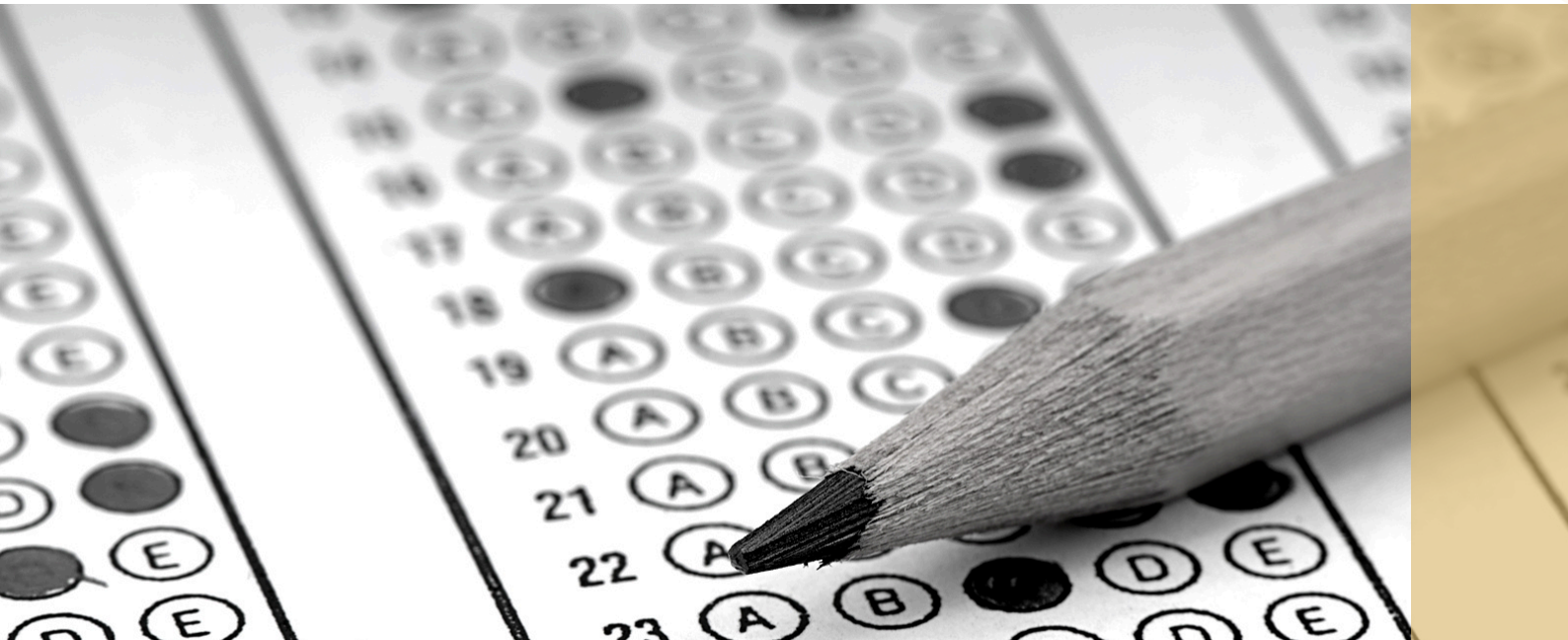
- Real estate companies
- Independent legal professionals (i.e. notaries, lawyers)
- Precious metals/stones companies
- Trust and investment funds companies
- External auditors and accounting professionals

EXAMINATION PROCESS

GCI's examination process is set to the highest standards, allowing the candidate to schedule their exam by a click of a button at a time that suits them.

Online proctored exam at home or office

- Exam Duration: 120 Minutes
- Practice questions that simulate the actual exam.
- Number of EXAM Questions: 100 Question
- Pass Mark: 75%





EXAM PREPARATION

Candidates have two options to register in any of the GCI programs:

Option 1: Self-Study
Take the online proctored exams at home.

GCI's examination process is set to the highest standards. Our students gain access to our easy-to-navigate online portal, where they can download their certificate study guide (e-book) in their selected language. undertake the practice questions as much as needed.

Once the candidate is ready to sit the assessment, they can schedule their online proctored exam with a click of a button through their membership area and undertake the exam any time and anywhere. Our assessment platform is usually available 24/7.

- *Anticipated reading hours: 45 Hours*
- *Anticipated Studying hours: 90 Hours*

Option 2: Instructor-led program by a GCI-accredited trainer or training centre.

GCI could arrange an instructor-led program for groups (10+ participants), whether virtually or in person (as available), by our faculty members and our accredited training centres around the globe.

- *Training hours: 22 Hours*
- *Anticipated Studying hours: 45 Hours*

The AMLS exam covers six chapters, each accounting for a specific percentage of the exam questions.

Chapter	Chapter Name	Exam Weight
Chapter 1	Financial Crime Principles	11%
Chapter 2	Risk-Based Approach & Compliance Monitoring	17%
Chapter 3	Fintech & The Evolution of Money and Financial Crime	22%
Chapter 4	Money Laundering in The Financial Sector	18%
Chapter 5	Money Laundering in non-financial sectors	15%
Chapter 6	Compliance Program, Monitoring, and Examinations	17%
Chapter 7	The International Framework of AML & Reference Materials	Excluded from the assessment.
Total		100%



AFTER THE EXAM

ONCE YOU PASS THE EXAM YOU WILL RECEIVE:



01. Digital Badge

Your digital badge is a web-enabled version of your certification and learning outcome, which can be verified in real-time online by your employer, friends and colleagues. It shows the skills you have and how did you earn them. You can also share it easily on professional networking platforms like LinkedIn and else.

02. E-Certificate



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